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|   |   | Pate          | ent and               | d Tra  | demark Office: U |                        |            |          |        |
|---|---|---------------|-----------------------|--|------------------|------------------------|------------|----------|--------|
| 0001/PTO Rev. 10/95   | Department of Commerce<br>Patent and Trademark Office |               | Application Number    |  |                  | 09/925,120             |            |          |        |
| TRANSMITTAL FORM  (to be used for all correspondence during pendency of filed application)  |   |               | Filing Date           |  | August 8, 2001   |                        |            |          |        |
|   |   |               | First Named Inventor  |  |                  | Millard E. Sweatt, III |            |          |        |
|   |   |               | Group Art Unit Number |  |                  | 2152.                  |            |          |        |
|   |   |               | Exar                  | miner  | Name             | Not                    | t Yet Knov | wn       |        |
| Total Number of Pages in  | This Submission                                       | 14            | Attor                 | rney   | Docket Number    | 224                    | 07-05390   |          |        |
|   | ENCLO   | OSURES        | (ch                   | eck  | all that apply   | <i>')</i>              |            |          |        |
| Fee Transmittal Form (in duplicate)  Check Enclosed  Return Receipt Postcard  Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet  Declaration  Power of Attorney Application Data Sheet Information Disclosure Statement & PTO-1449  Copies of IDS Cited References  Request for Corrected Filing Receipt  Request for Correction of Recorded Assignment  Amendment/Response: [ ] Page(s)  After Final  Status Request  Revocation and Substitute Power of Attorney                      |   |               |                       | Issue Fee Transmittal Letter to Chief Draftsperson Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Certified Copy of Priority Document(s) After Allowance Communication to Group Copy of fee transmittal (Exhibit A) Copy of application data sheet (Exhibit B) Copy of Filing Receipt Copy of Filing Receipt mailed June 8, 2001 for U.S. Provisional Application No. 60/223,856 (Exhibit C) Copy of Checks Submitted (Exhibit D) |                  |                        |            |          |        |
| REMARKS:  |   |               |                       |  |                  |                        | ·-         |          |        |
|   |   |               |                       |  |                  |                        |            |          |        |
| SIGNATURE OF ATTORNEY OR AGENT  |   |               |                       |  |                  |                        |            |          |        |
| Signature:  | Martin S  | C -fri        | <u>~</u>              |  |                  |                        |            |          |        |
| Attorney/Reg. No.: Mai  | rtin S.C. Loui, Reg                                   | j. No. 43,411 | <u> </u>              |  |                  |                        | Dated:     | NOVEMBER | 2,200) |
| CERTIFICATE OF MAILING  I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |   |               |                       |  |                  |                        |            |          |        |
| Signature:  | Martin S.   | .c. fin       | <u> </u>              |  |                  |                        |            | _        |        |
| Typed or Printed Name:  | Martin S.C. Lou                                       | ıi <b>'</b>   |                       |  |                  |                        | Dated:     | NOVEMBER | 2,2001 |



## IN THE UNITED STATES

## PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Millard E. Sweatt, III, Jeff Hastings, Don Woodward, Chris E. Matichuk, Shahriar Kianersi, Gurminder Sunner, Alain Regnier, Sunil Mohan, Hugh E. Cooney, Edward King, Philippe Pignon, and

Mark Nudelman

APPLICATION NO.:

09/925,120

FILING DATE:

August 8, 2001

TITLE:

Method and System for Remote Television Replay Control

**EXAMINER:** 

Not Yet Known

GROUP ART UNIT:

2152

ATTY. DKT. NO.:

22407-05390

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Office of Initial Patent Examination, Customer Service Center,

Washington, D.C. 20231, on the date shown below:

Dated: NOVEMBER 2, 2001

COMMISSIONER FOR PATENTS OFFICE OF INITIAL PATENT EXAMINATION CUSTOMER SERVICE CENTER WASHINGTON, DC. 20231

# REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt mailed on September 13, 2001. It contains the following errors:

The number of independent claims is erroneously stated as 7. The correct number of independent claims is 8, as evidenced by the fee transmittal, a copy of which is enclosed as Exhibit A.

- 2. The "Domestic Priority Data as Claimed by Applicant" erroneously states the filing date for serial number 60/223,856 as 8/9/2000. The correct filing date for is 8/8/2000, as evidenced by the: (1) copy of the Application Data Sheet enclosed as Exhibit B; and (2) copy of the Official Filing receipt, mailed June 8, 2001 for U.S. Provisional Application No. 60/223,856, enclosed as Exhibit C.
- 3. The filing fee received is erroneously stated as \$1642. The correct total filing fee should be stated as \$1852 (initial filing fee of \$1762, minus \$40 for the assignment, and plus late filing fee of \$130), as evidenced by the copies of checks submitted by Applicants to the U.S. Patent and Trademark Office, enclosed as Exhibit D.
- 4. Applicant Philippe Pignon's city of residence is erroneously stated as "Palo Allto, CA". The correct city of residence is "Palo Alto, CA" as evidenced by the copy of the Application Data Sheet, enclosed as Exhibit B.

The enclosed Filing Receipt includes corrections indicated in red ink. Please issue a corrected Filing Receipt rectifying the above-mentioned errors.

Respectfully submitted, MILLARD E. SWEATT III, et al.

Dated: NOVEMBER 2, 2001

Martin S.C. Loui, Reg. No.: 43,411

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

| APPLICATION NUMBER | FILING DATE         | GRP ART UNIT | FIL FEE REC'D             | ATTY.DOCKET.NO  | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|---------------------|--------------|---------------------------|-----------------|----------|------------|------------|
| 09/925,120         | <i>-</i> 08/08/2001 | 2152         | -1 <del>642</del><br>1852 | 22407-<br>05390 | 37       | 54         | 18         |

CONFIRMATION NO. 5873

FILING RECEIPT

\*OC000000006552093\*

Date Mailed: 09/13/2001

00758 FENWICK & WEST LLP TWO PALO ALTO SQUARE PALO ALTO, CA 94306

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Millard E. Sweatt III, Menlo Park, CA; Jeff Hastings, Los Altos, CA; Don Woodward, Los Altos, CA; Chris E. Matichuk, San Jose, CA; Shahriar Kianersi, Menlo Park, CA; Gurminder Sunner, San Jose, CA; Alain Regnier, Sunnyvale, CA; Sunil Mohan, Los Gatos, CA; Hugh E. Cooney, Los Altos, CA; Edward King, San Jose, CA; Palo Alto Philippe Pignon, Pale-Allto, CA; Mark Nudelman, Half Moon Bay, CA;

#### Domestic Priority data as claimed by applicant

08/08/2000 THIS APPLN CLAIMS BENEFIT OF 60/223,856 08/09/2000-\* AND CLAIMS BENEFIT OF 60/248,313 11/14/2000 AND CLAIMS BENEFIT OF 60/258,937 12/29/2000 AND CLAIMS BENEFIT OF 60/258,940 12/29/2000 (\*) Data inconsistent with PTO records.

## **Foreign Applications**

If Required, Foreign Filing License Granted 09/12/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts



60

Non-Publication Request: No

Early Publication Request: No

Title

Method and system for remote television replay control

**Preliminary Class** 

709

Data entry by : NEGASH, GHIDEI

Team : OIPE

Date: 09/13/2001

